

CalPERS Ethics Helpline

REPORT STATUS SUMMARY
9/13/2010 – 3/15/2011

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 3/15/11	Pending	Closed	Intake Date	Closed Date
1.	2011-084	Human Resources	NO	4		•	3/14/11	3/17/11
2.	2011-083	Other	NO	5	•		3/11/11	
3.	2011-082	Safety, Health and the Environment	YES	8	•		3/8/11	
4.	2011-081	Human Resources	YES	2		•	3/8/11	3/9/11
5.	2011-080	Human Resources	NO	11		•	3/7/11	3/17/11
6.	2011-079	Data Privacy –CalPERS	NO	11	•		3/5/11	
7.	2011-078	Other	NO	7		•	3/4/11	3/10/11
8.	2011-077	Data Privacy	NO	8		•	3/4/11	3/11/11
9.	2011-076	Compliance with Laws and Regulations	NO	16	•		2/28/11	
10.	2011-075	Other	NO	22		•	2/23/11	3/16/11
11.	2011-074	Human Resources	YES	15		•	2/23/11	3/9/11
12.	2011-073	Accounting and Auditing Matters	NO	21	•		2/23/11	
13.	2011-072	Retirement Benefits Fraud or Abuse	YES	22	•		2/22/11	
14.	2011-071	Compliance with Laws and Regulations	NO	8		•	2/16/11	2/23/11
15.	2011-070	Compliance with Laws and Regulations	NO	20		•	2/16/11	3/7/11
16.	2011-069	Human Resources	YES	17		•	2/16/11	3/4/11
17.	2011-068	Human Resources	YES	11		•	2/15/11	2/25/11
18.	2011-067	Other	NO	15		•	2/15/11	3/01/11
19.	2011-066	Fraud	NO	29	•		2/15/11	

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20.	2011-065	EEOC or ADA Matters	NO	24		•	2/14/11	3/9/11
21.	2011-064	Other	NO	36	•		2/8/11	
22.	2011-063	Human Resources	YES	18		•	2/8/11	2/25/11
23.	2011-062	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11
24.	2011-061	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11
25.	2011-060	Computer, E-mail, and Internet Use	YES	41	•		2/3/11	
26.	2011-059	Compliance with Laws and Regulations	YES	23		•	2/3/11	2/25/11
27.	2011-058	Human Resources	NO	34		•	2/2/11	3/7/11
28.	2011-057	Fraud	YES	7		•	2/2/11	2/8/11
29.	2011-056	Outside Employment and Compensation (including ownership in other business)	NO	15		•	2/1/11	2/15/11
30.	2011-055	Compliance with Laws and Regulations	NO	15		•	1/31/11	2/14/11
31.	2011-054	Override of internal Controls	NO	9		•	1/31/11	2/8/11
32.	2011-053	Other	YES	9		•	1/30/11	2/7/11
33.	2011-052	Other	NO	11		•	1/28/11	2/7/11
34.	2011-051	Human Resources	YES	11		•	1/28/11	2/7/11
35.	2011-050	Disability Fraud	NO	5		•	1/24/11	1/28/11
36.	2011-049	Override of Internal Controls	YES	5		•	1/6/11	1/10/11

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37.	2011-048	Compliance with Laws and Regulations	YES	24		•	1/5/11	1/28/11
38.	2010-047	Conflict of Interest	YES	21		•	12/24/10	1/13/11
39.	2010-046	Fraud	NO	28		•	12/24/10	1/20/11
40.	2010-045	Human Resources	YES	16		•	12/22/10	1/6/11
41.	2010-044	Discrimination or Harassment	NO	14		•	12/21/10	1/3/11
42.	2010-043	Disability Fraud	YES	4		•	12/20/10	12/23/10
43.	2010-042	Safety, Health, and the Environment	YES	18		•	12/20/10	1/6/11
44.	2010-041	Compliance with Laws and Regulations	NO	6		•	12/15/10	12/20/10
45.	2010-040	Retirement Benefits Fraud or Abuse	YES	61		•	12/12/10	2/10/11
46.	2010-039	Compliance with Laws and Regulations	NO	44		•	12/8/10	1/20/11
47.	2010-038	Discrimination or Harassment	YES	3		•	12/7/10	12/9/10
48.	2010-037	Human Resources	YES	37		•	12/2/10	1/6/11
49.	2010-036	Sexual Harassment	YES	9		•	12/1/10	12/9/10
50.	2010-035	Fraud	YES	9		•	11/30/10	12/8/10
51.	2010-034	Conflict of Interest	YES	15		•	11/29/10	12/13/10
52.	2010-033	Sexual Harassment	YES	33		•	11/29/10	12/31/10
53.	2010-032	Disability Fraud	NO	15		•	11/24/10	12/8/10
54.	2010-031	Retirement Benefits Fraud or Abuse – Pension Spiking	NO	47		•	11/22/10	1/7/11

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55.	2010-030	Conflict of Interest	YES	11		•	11/19/10	11/29/10
56.	2010-029	Information Security and Records	NO	30		•	11/16/10	12/15/10
57.	2010-028	Retirement Benefits Fraud or Abuse	YES	12		•	11/8/10	11/19/10
58.	2010-027	Legal Issues	YES	55		•	11/4/10	12/28/10
59.	2010-026	Retirement Benefits Fraud or Abuse - Pension Spiking	YES	134	•		11/2/10	
60.	2010-025	Retirement Benefits Fraud or Abuse - Pension Spiking	YES	40		•	10/30/10	12/8/10
61.	2010-024	Retirement Benefits Fraud or Abuse - Pension Spiking	YES	43		•	10/27/10	12/8/10
62.	2010-022	Accounting and Auditing Matters	YES	74		•	10/26/10	1/7/11
63.	2010-021	Human Resources	NO	9		•	10/20/10	10/28/10
64.	2010-020	Legal Issues	NO	4		•	10/18/10	10/21/10
65.	2010-019	Retirement Benefits Fraud or Abuse - Pension Spiking Municipality	NO	13		•	10/17/10	10/29/10
66.	2010-018	Abuse of Authority – CalPERS	YES	62		•	10/13/10	12/13/10
67.	2010-017	Fraud- Theft of Property Public Agency	NO	7		•	10/8/10	10/14/10
68.	2010-016	Retirement Benefits Fraud or Abuse - Unreported Death	NO	9		•	10/7/10	10/15/10

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69.	2010-015	Retirement Benefits Fraud or Abuse - Pension Spiking Educational Institution	YES	107		•	10/5/10	1/19/11
70.	2010-014	Business Relationships with Clients/Vendors	YES	49		•	10/5/10	11/22/10
71.	2010-013	Data Privacy - CalPERS	NO	19		•	9/27/10	10/15/10
72.	2010-012	Improper Supplier or Contractor Activity-CalPERS	YES	110		•	9/27/10	1/14/11
73.	2010-011	Retirement Benefits Fraud or Abuse - Pension Spiking Municipality	YES	118		•	9/24/10	1/19/11
74.	2010-010	Retirement Benefits Fraud or Abuse - Members Fire Department	YES	98		•	9/23/10	12/29/10
75.	2010-009	Legal Issues - Misuse of State Property	YES	19		•	9/17/10	10/5/10
76.	2010-008	Retirement Benefits Fraud or Abuse - Legal Public Agency	NO	29		•	9/15/10	10/13/10
77.	2010-007	Abuse of Authority - CalPERS	YES	92		•	9/13/10	12/13/10

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